

# Differing Effects when Using Phenylephrine and Norepinephrine To Augment Cerebral Blood Flow after Traumatic Brain Injury in the Immature Brain

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## Abstract

Low cerebral blood flow (CBF) states have been demonstrated in children early after traumatic brain injury (TBI), and have been correlated with poorer outcomes. Cerebral perfusion pressure (CPP) support following severe TBI is commonly implemented to correct cerebral hypoperfusion, but the efficacy of various vasopressors has not been determined. Sixteen 4-week-old female swine underwent nonimpact inertial brain injury in the sagittal plane. Intraparenchymal monitors were placed to measure intracranial pressure (ICP), CBF, brain tissue oxygen tension (PbtO<sub>2</sub>), and cerebral microdialysis 30 min to 6 h post-injury. One hour after injury, animals were randomized to receive either phenylephrine (PE) or norepinephrine (NE) infusions titrated to a CPP > 70 mm Hg for 5 h. Animals were euthanized 6 h post-TBI, and brains were fixed and stained to assess regions of cell and axonal injury. After initiation of CPP augmentation with NE or PE infusions, there were no differences in ICP between the groups or over time. Animals receiving NE had higher PbtO<sub>2</sub> than those receiving PE (29.6 ± 10.2 vs. 19.6 ± 6.4 torr at 6 h post-injury,  $p < 0.05$ ). CBF increased similarly in both the NE and PE groups. CPP support with PE resulted in a greater reduction in metabolic crisis than with NE (lactate/pyruvate ratio 16.7 ± 2.4 vs. 42.7 ± 10.2 at 6 h post-injury,  $p < 0.05$ ). Augmentation of CPP to 70 mm Hg with PE resulted in significantly smaller cell injury volumes at 6 h post-injury than CPP support with NE (0.4% vs. 1.4%,  $p < 0.05$ ). Despite similar increases in CBF, CPP support with NE resulted in greater brain tissue oxygenation and hypoxic-ischemic injury than CPP support with PE. Future clinical studies comparing the effectiveness of various vasopressors for CPP support are warranted.

**Key words:** CBF; CPP; NE; PE; TBI

## Introduction

LOW CEREBRAL BLOOD FLOW (CBF) states have been demonstrated in children early after traumatic brain injury (TBI) and have been correlated with poorer outcomes.<sup>1,2</sup> Cerebral perfusion pressure (CPP) support following severe TBI is commonly implemented to correct cerebral hypoperfusion and prevent or minimize secondary brain injury.<sup>3</sup> The most recent Brain Trauma Foundation guidelines recommended a minimum CPP threshold of 40 mm Hg with no recommendations on how to achieve this threshold or on what is an optimal upper limit for age-specific CPP thresholds.<sup>4</sup>

Vasopressors are typically employed as a treatment strategy to increase CPP after TBI by raising mean arterial pressure (MAP). Currently, there are no recommendations for the choice of vasopressor, and there are only limited studies comparing the effectiveness of commonly used agents for CPP support in TBI.<sup>5–9</sup> Adult

studies comparing vasopressor efficacy have been limited to single center retrospective and small prospective studies.<sup>6–9</sup> None of these studies observed significant differences in CBF velocity, metabolism, or oxygenation. In a single center retrospective study of pediatric patients with severe TBI, phenylephrine (PE) followed by norepinephrine (NE) were found to be the most common vasopressors utilized, and post-hoc analysis did not reveal any significant differences in cerebral hemodynamic responses between the two vasopressors.<sup>5</sup>

We have developed a critical care model of pediatric closed head injury that utilizes a large animal model to enable full clinical modalities used in neurointensive care, as well as a recently employed clinically relevant anesthetic plan to improve the translational potential.<sup>10,11</sup> We have previously reported that early CPP support to 70 mm Hg with PE resulted in a greater reduction in metabolic crisis and cell injury volumes than targeting a CPP of 40 mm Hg.<sup>12</sup> The goal of this study was to compare the efficacy of

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PE with NE to target a CPP of 70 mm Hg early after nonimpact inertial brain injury. To determine differences in the injured brain's response to PE and NE, we measured cerebrovascular physiology including CBF, brain tissue oxygen tension, and cellular metabolism (via microdialysis) and assessed short-term neuropathology.

## Methods

### Animal preparation

All procedures were approved by the Institutional Animal Care and Use Committee of the University of Pennsylvania. Female, 4-week-old piglets (8–10 kg), were pre-medicated with intramuscular injection of ketamine (20 mg/kg) and xylazine (2 mg/kg) followed by 4% isoflurane and 100% FiO<sub>2</sub> via snout mask, until abolishment of a reflexive withdrawal to a pinch stimulus. Endotracheal intubation was followed by a decrease in FiO<sub>2</sub> to 21–30%, and maintenance anesthesia was provided using 2% isoflurane. Femoral artery and venous catheters were placed for continuous MAP monitoring, arterial blood gas sampling, and intravenous (IV) fluid administration. Vital signs, including heart rate, respiratory rate, MAP zeroed to the right atrium, arterial oxygen saturation (SaO<sub>2</sub>), end tidal CO<sub>2</sub>, and rectal temperature, were recorded every 10 min for the duration of the experiment. A 20 mL/kg normal saline (NS) bolus was administered for replacement of pre-anesthetic dehydration secondary to required fasting, followed by an NS infusion at 4 mL/kg/h. Mechanical ventilation was titrated with goals of normoxia (PaO<sub>2</sub> > 90 mmHg) and normocarbica (PaCO<sub>2</sub> 38–45 mm Hg). A core body temperature goal of 37–38.5°C to ensure accurate thermal diffusion CBF measurements was accomplished with conductive warming/cooling blanket system (Gaymar, Orchard Park, NY). Piglets were then given a bolus of 1 mg/kg midazolam and 50 µg/kg fentanyl and started on midazolam and fentanyl infusions (1 mg/kg/h and 100 µg/kg/h, respectively), and isoflurane was discontinued. Pre- and post-injury arterial blood gas samples were obtained hourly (Nova Biomedical, Waltham, MA) until euthanasia 6 h after injury.

### Nonimpact rotational brain injury

Animals ( $n=16$ ) experienced rapid sagittal head rotations without impact (angle rotation 90 degrees over 10–12 msec) as described previously.<sup>13–15</sup> Angular velocity was measured using an angular rate sensor (ATA, Albuquerque, NM) attached to the linkage sidearm. After injury, the animal was removed from the bite plate and placed in the prone position.

### Neuromonitoring

After injury, four burr holes were prepared for placement of fiberoptic intracranial pressure monitor, CBF thermal diffusion probe, brain tissue oxygen monitor, and microdialysis probe. The fiberoptic intracranial pressure probe (Integra, Plainsboro, NJ), was inserted 0.5 cm posterior to the coronal suture and 0.5 cm to the left of the sagittal suture and secured with a single lumen bolt. The Licox catheter system (Integra, Plainsboro, NJ) was placed to measure brain tissue oxygenation (PbtO<sub>2</sub>) 1 cm anterior to the intracranial pressure probe. The brain tissue oxygen probe was inserted to a depth of 1.5 cm, secured with bone wax, and allowed to equilibrate for 30 min before values were recorded. A hyperoxia test was performed to confirm proper catheter placement. Data for intracranial pressure and PbtO<sub>2</sub> were recorded every 15 min until euthanasia.

A microdialysis probe (PAS 12, 4 mm length, CMA, North Chelmsford, MA) was placed on the opposite side of the skull from the PbtO<sub>2</sub> catheter into subcortical white matter. Immediately after insertion, the probe was infused with sterile 0.9% NaCl at a rate of 1 µL/min. Dialysate samples were collected every 30 min until

TABLE 1. INJURY, WEIGHT, AND VASOPRESSOR DOSE TABLE

Parameter	Phenylephrine	Norepinephrine
Weight (kg)	8.4 ± 1.4	8.6 ± 0.8
Velocity (rad/sec)	142 ± 3.1	142.2 ± 1.7
Acceleration (rad/sec <sup>2</sup> )	62,603 ± 10,369	55,911 ± 6,919
Maximum vasopressor dosage (µg/kg/min)	7.9 ± 5.2	0.9 ± 0.7

euthanasia, and stored at –80°C and analyzed for lactate and pyruvate using a CMA600 analyzer (CMA, North Chelmsford, MA).

CBF of the right frontal lobe subcortical white matter was measured continuously with a thermal diffusion intraparenchymal probe (Hemedex, Cambridge, MA) inserted 1 cm anterior to the microdialysis probe.<sup>16,17</sup>

### Cerebral perfusion modulation

One hour after injury, animals were randomized to receive either PE ( $n=8$ ) or NE ( $n=8$ ) infusions titrated to a CPP > 70 mm Hg for 5 h until euthanasia.

### Histology

At 6 hours post-injury, animals were euthanized via an overdose of pentobarbital. Brains were perfusion fixed, and two 6 µm sections were cut from every 3 mm block. Sections were stained with Hematoxylin and Eosin (H&E), or with the immunohistochemical marker for axonal injury beta-amyloid precursor protein ( $\beta$ -APP) (Chemicon 22C11 used at dilution of 1:5000) and counterstained with Meyer's hematoxylin. Areas of cell injury on H&E staining were identified by changes in staining intensity, and characterized by cell shrinkage and cytoplasmic eosinophilia, so-called "red cell change."<sup>18</sup> Regions of  $\beta$ -APP immunoreactivity and cell injury were noted by a blinded neuropathologist, and the locations of white matter damage and cell injury were traced in each slice using our previously described procedure to determine total area.<sup>10</sup> Total and injured areas were multiplied by section thickness to determine total and injured brain volumes.

TABLE 2. PHYSIOLOGICAL DATA PRE-INJURY, 1 H, 3 H, AND 6 H POST-INJURY

Parameter	Phenylephrine	Norepinephrine
Heart rate (beats/min)		
Baseline	117 ± 17	122 ± 14
1 h	92 ± 14	102 ± 26
3 h	66 ± 14	147 ± 55*
6 h	81 ± 25	127 ± 16*
MAP (mm Hg)		
Baseline	70 ± 9	76 ± 7
1 h	73 ± 10	71 ± 5
3 h	87 ± 5	86 ± 12
6 h	90 ± 9	88 ± 15
Temperature (°C)		
Baseline	36.4 ± 0.2	36.5 ± 0.5
1 h	36.5 ± 0.5	36.6 ± 0.6
3 h	36.9 ± 0.4	37.3 ± 0.6
6 h	37.2 ± 0.5	37.5 ± 0.5

\*Denotes statistically significant difference,  $p < 0.05$  with Tukey–Kramer post-hoc analysis, ± standard deviation.

MAP, mean arterial pressure.

TABLE 3. ARTERIAL BLOOD GAS VALUES

Parameter	Phenylephrine	Norepinephrine
pH		
Baseline	7.54±0.04	7.55±0.03
1 h	7.54±0.04	7.54±0.05
3 h	7.54±0.04	7.54±0.04
6 h	7.55±0.03	7.52±0.04
PaCO <sub>2</sub> (torr)		
Baseline	43.5±2.0	42.2±3.6
1 h	43.7±4.9	40.5±2.8
3 h	40.5±0.5	41.3±4.4
6 h	37.8±2.5	40.6±4.7
PaO <sub>2</sub> (torr)		
Baseline	115.1±19.9	119.1±20.7
1 h	123.6±15.6	127.9±11.5
3 h	125.1±23.1	121.7±22.1
6 h	115.2±13.0	123.8±18.4
Lactate (mmol/L)		
Baseline	1.5±0.1	1.2±0.3
1 h	0.9±0.2	0.9±0.2
3 h	1.0±0.2	1.7±0.2
6 h	0.7±0.1	1.5±0.4*
Sodium (mmol/L)		
Baseline	141.2±2.5	140.8±2.9
1 h	139.6±2.3	140.1±2.1
3 h	138.0±2.1	140.1±4.3
6 h	138.1±1.8	137.8±2.2
Glucose (mg/dL)		
Baseline	73±8	75±10
1 h	130±20	126±22
3 h	131±25	175±31
6 h	88±20	175±28*

Arterial blood gas data pre-injury, 1 h, 3 h, and 6 h post-injury.

\*Denotes statistically significant difference,  $p < 0.05 \pm$  standard deviation.

### Statistical analysis

Physiological, arterial blood gas, and neuromonitoring parameter (intracranial pressure [ICP], PbtO<sub>2</sub>, microdialysis, CBF) data were analyzed across groups and time using repeated measures ANOVA tests, and Tukey–Kramer tests were used for post-hoc analysis with significance defined as  $p < 0.05$ . Neuropathology comparisons between groups were analyzed using Student's *t* test.

## Results

### Injury and physiological data

Angular peak velocity and acceleration were similar between the two groups (Table 1). Physiological and arterial blood gas data for NE and PE are shown in Table 2 and Table 3 respectively. Heart rate was significantly higher in the NE group after vasopressor initiation for CPP modulation. Higher blood glucose and lactate levels 5 h after initiation of vasopressor support occurred in animals receiving NE (Table 3). It is of note that we observed a baseline metabolic alkalosis in all animals consistent with previous reports.<sup>19</sup>

### Intracranial monitoring

After initiation of CPP modulation with NE or PE infusion, there was no statistically significant difference in CPP between the groups (Fig. 1). ICP was unchanged after the initiation of vasopressor infusions for CPP support (Fig. 1).

In order to assess brain tissue's response to CPP modulation, we measured PbtO<sub>2</sub>, microdialysate lactate pyruvate ratios (LPR), and CBF. PbtO<sub>2</sub> was higher for NE than for PE 2 h after the initiation of CPP support (Fig. 2). PbtO<sub>2</sub> responded to CPP modulation in both groups, and was significantly higher during the post-injury interval of 3–6 h compared with initial values measured within 1 h of injury in both groups.

After initiation of CPP support, PE had significantly lower LPR than NE ( $p < 0.05$ ) (Fig. 3). There was no correlation between microdialysate and serum lactate levels. Repeated measures ANOVA analysis of CBF revealed only a significant time effect (Fig. 4) ( $p < 0.05$ ) with CBF increasing in both groups over time.

### Neuropathology

Neuropathology revealed regions of injury predominantly in the frontal and parietal lobes. One animal was excluded from traumatic axonal injury analysis because of global ischemic injury with widespread associated ischemic white matter injury, which prevented accurate assessment of traumatic axonal injury. Traumatic axonal injury volumes at 6 h post-injury as determined by  $\beta$ -APP immunohistochemistry were not different between the two groups (Fig. 5). Cell injury volumes determined by H&E were significantly larger in NE than in PE (1.4% vs. 0.4%,  $p < 0.05$ ). Regions of cell injury were predominantly observed in cortical regions of the frontal lobes.

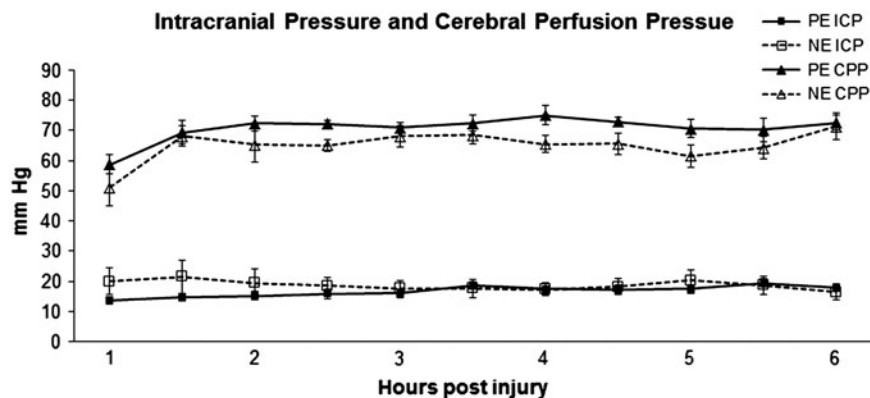
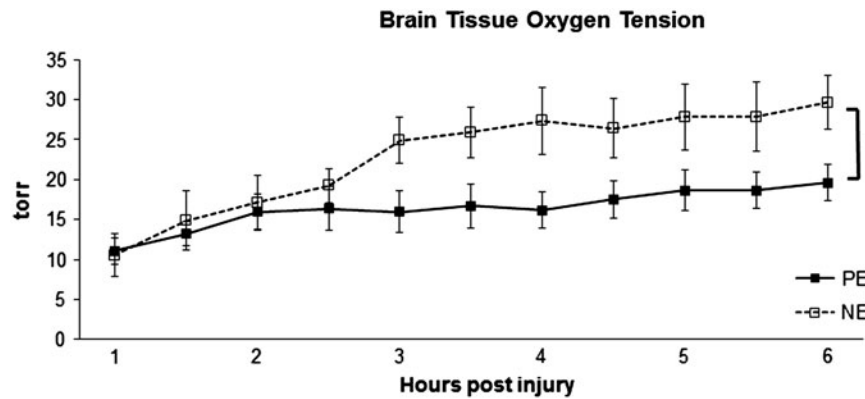


FIG. 1. Intracranial pressure (ICP) and cerebral perfusion pressure (CPP) over time. PE, phenylephrine; NE, norepinephrine.



**FIG. 2.** Brain tissue oxygen tension over time. PE, phenylephrine; NE, norepinephrine.  $*p < 0.05$  for drug effect on two way ANOVA analysis.

## Discussion

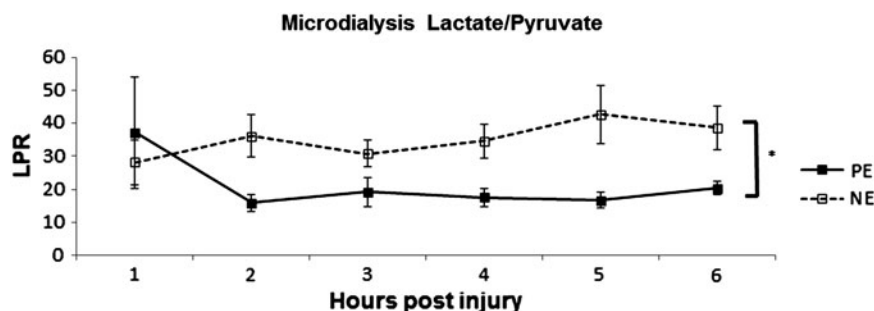
We have previously demonstrated a neuroprotective effect of early CPP augmentation following TBI with PE to a CPP of 70 mm Hg in a clinically relevant large animal model of pediatric neurocritical care.<sup>12</sup> However, several other vasopressors are commonly utilized clinically to augment CPP, and the conclusions in our previous study were limited by the use of volatile anesthetics during the post-injury period. In the present study, utilizing an opioid-benzodiazepine-based anesthetic protocol, we compared early CPP augmentation with PE or NE, and identified differences in physiologic responses, brain tissue oxygen, cellular metabolism, and acute neuropathology.

Physiologic effects of utilizing a clinically relevant anesthetic protocol. In our previous study, animals were anesthetized with a combination of inhaled isoflurane and intravenous fentanyl infusions for the duration of the study. Volatile anesthetics have well-known effects on cerebral autoregulation and neuroprotection, and are not typically utilized in the intensive care management of the child with a severe brain injury, a limitation in our previous study.<sup>20-24</sup> Furthermore, uninjured immature swine anesthetized with an opioid-benzodiazepine-based anesthetic protocol have a preserved autoregulation response to vasopressor challenges compared with animals anesthetized with a volatile anesthetic-based protocol.<sup>11</sup> This is illustrated by the differences in CBF measured in our current experiments compared with previous studies that utilized a volatile anesthetic-based protocol.<sup>11</sup> CPP augmentation to 70 mm Hg with PE resulted in significantly higher CBF values in swine receiving a volatile anesthetic-based protocol than in our current studies (Table 4). The translatability of our

findings to the clinical setting in the intensive care unit (ICU) is strengthened by the use of an opioid-benzodiazepine-based anesthetic protocol.

Differences in physiologic effects of CPP augmentation with PE and NE. We expected differences in physiologic responses of the animals to PE and NE infusions based on the  $\alpha$ -agonist-only activity of PE and the  $\alpha$  and  $\beta$ -agonist activity of NE. Not surprisingly, animals receiving PE infusions developed a relative reflexive bradycardia during CPP augmentation, whereas animals receiving NE did not. Similar findings were found in a retrospective cohort of adult TBI patients receiving vasopressor infusions.<sup>6</sup> We did not observe any evidence for systemic tissue hypoperfusion in either group. Although arterial blood lactate levels were statistically higher at 6 h post-injury in the NE group (Table 3), this was not clinically significant. Previous studies in adults have reported an increase in adult respiratory distress syndrome (ARDS) with aggressive CPP support, and pulmonary edema has been reported in adult swine receiving phenylephrine infusions for CPP support.<sup>25,26</sup> We did not observe any changes in PaO<sub>2</sub> or FiO<sub>2</sub> requirements during PE or NE infusions. Norepinephrine is a known inhibitor of insulin release from pancreatic  $\beta$ -cells, whereas phenylephrine has been reported to have little or no influence on blood glucose levels.<sup>27,28</sup> Not surprisingly, we did observe higher blood glucose levels in animals receiving NE infusions than in animals receiving PE infusions.

CPP support with PE or NE improves CBF following nonimpact brain injury. Human studies of direct repeated measurements of CBF over time during cerebral resuscitation are



**FIG. 3.** Lactate pyruvate ratio (LPR) over time. PE, phenylephrine. NE, norepinephrine.  $*p < 0.05$ .

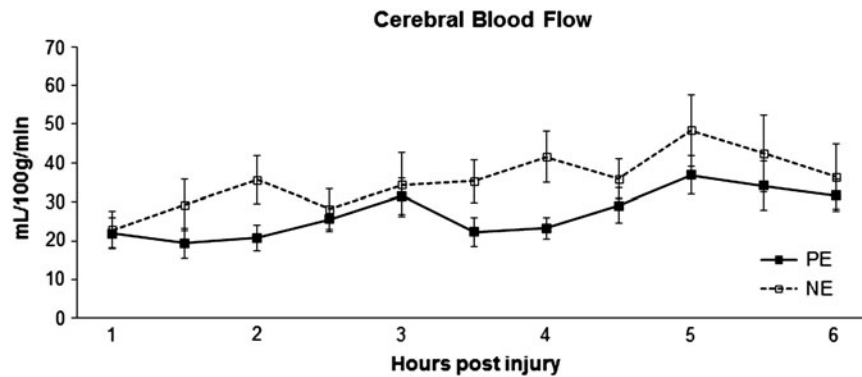


FIG. 4. Cerebral blood flow over time measured via thermal diffusion. PE, phenylephrine; NE, norepinephrine.

limited.<sup>29</sup> Our clinically relevant large animal model provides a unique opportunity to monitor changes in CBF, and, more accurately models early cerebrovascular dynamics in the severely brain-injured child. Increasing CPP with either PE or NE increased CBF, with slightly larger increases observed with NE. No increases in ICP were observed during CPP support during this early post-injury time interval. It should be noted that CPP support to 70 mm Hg with either vasopressor resulted in CBF reaching levels previously observed in uninjured animals receiving the same anesthetic protocol (Table 4).<sup>11</sup>

NE infusions for CPP support improved PbtO<sub>2</sub>, but worsened brain cellular metabolic crisis. Increases in PbtO<sub>2</sub> following initiation of CPP support was observed in both groups, but animals receiving NE infusions had statistically significantly higher PbtO<sub>2</sub>. These differences in PbtO<sub>2</sub> can be at least partly attributed to higher increases in CBF in animals receiving NE infusions. Increases in regional oxygenation and CBF have also been found in adult severe TBI patients receiving NE to drive CPP to >70 mm Hg.<sup>30</sup> CPP augmentation with NE did not reduce microdialysate LPR similar to findings in adult patients with TBI.<sup>30</sup> However, vasopressor support with PE did result in a reduction in LPR, similar to our previous results.<sup>12</sup> Interpretation of these varied

responses to two different vasopressors is complex, but discussion of the limitations of the multimodal monitoring methods utilized and the relevant literature provides some insight. There is no clear consensus on the physiological interpretation of PbtO<sub>2</sub>.<sup>31</sup> Most likely, PbtO<sub>2</sub> reflects the accumulation of oxygen in the brain extracellular space, which is directly influenced by three factors: oxygen delivery, oxygen diffusion characteristics of the region of interest, and oxygen utilization by the brain tissue. If we assume that infusions of PE and NE have similar effects on diffusion characteristics of the region of interest, differences in PbtO<sub>2</sub> can be attributed to oxygen delivery and/or oxygen utilization. Animals receiving NE had higher CBF, although not statistically significant compared with PE. With regard to oxygen utilization, brain mitochondrial dysfunction has been previously reported in swine undergoing nonimpact inertial brain injury.<sup>32</sup> Furthermore, *in vitro* exposure of neurons to NE, epinephrine, or dopamine resulted in induction of mitochondrial dysfunction and exacerbation of oxidative stress.<sup>33</sup> Despite similar CBF between NE and PE, we speculate that reductions in mitochondrial function with NE may be responsible for less oxygen utilization (higher PbtO<sub>2</sub>) and more hypoxic-ischemic injury (Fig. 5) than PE. Similar studies with  $\alpha$ -only agonists such as PE have not been published, to our knowledge. Further investigation is warranted on the effects of various vasopressors on brain mitochondrial dysfunction following TBI.

Early CPP augmentation with PE results in greater reduction in cell injury than with NE. In our model, we evaluated the efficacy of CPP support with PE compared with NE before severe intracranial hypertension. At 6 h post-injury, there were no differences in the amount of axonal injury detected by  $\beta$ -APP immunohistochemistry, similar to our previous investigations. CPP

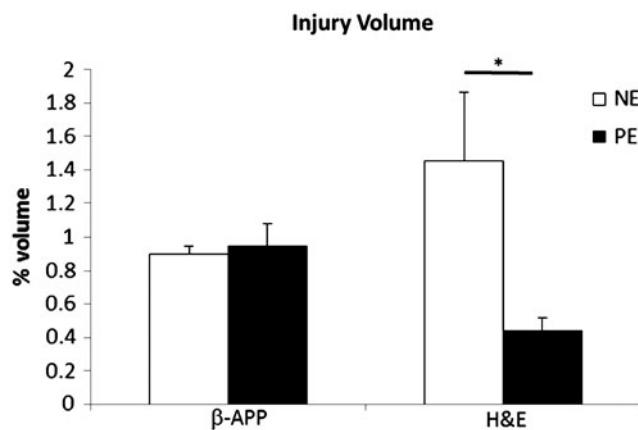


FIG. 5. Traumatic axonal injury volumes determined by beta-amyloid precursor protein ( $\beta$ -APP) immunohistochemistry and cell injury volumes determined by hematoxylin and eosin (H&E) at 6 h post-injury. PE, phenylephrine; NE, norepinephrine. \* $p < 0.05$ .

TABLE 4. CEREBRAL BLOOD FLOW AT 6 H POST-INJURY

Group	Cerebral blood flow (mL/100g/min)	Cerebral perfusion pressure (mm Hg)
Sham	35 $\pm$ 10	71 $\pm$ 11
Sham with isoflurane	60 $\pm$ 9	54 $\pm$ 2
Injury + norepinephrine	37 $\pm$ 12	71 $\pm$ 7
Injury + phenylephrine	32 $\pm$ 10	73 $\pm$ 7
Injury + phenylephrine with isoflurane	53 $\pm$ 8	71 $\pm$ 4

Sham with isoflurane and Injury + phenylephrine with isoflurane previously published data.<sup>11,19</sup>

support early after TBI may not alter axonal injury, or the early time point for evaluation may preclude detecting differences between the groups.<sup>34,35</sup> Both groups demonstrated a reduction in cell injury compared with previous investigations with no CPP support, or only to a level of 40 mm Hg. However, animals receiving PE had less cell injury than those receiving NE. One possible explanation is the proposed differences in the vasopressors' effect on mitochondrial dysfunction, as described.

### Limitations

There are several limitations to our experimental design, which must be considered when attempting to translate our findings to the ICU. First, our model of nonimpact inertial brain injury does not encompass the full range of the pathophysiology of pediatric TBI. Specifically, the pathophysiologic responses of pericontusional tissue may differ from what we observed in our diffuse brain injury model. The newly funded comparative effectiveness trial in pediatric TBI will, we hope, provide further insight into the efficacy of various vasopressors for CPP support.<sup>36</sup> Second, our time point for histological examination was relatively acute, and differences in the response of white matter injury to the effects of our intervention may not be apparent at such an earlier post-injury time point.<sup>34,35</sup> Third, animals in our study did not achieve severe intracranial hypertension, because of the short post-injury interval. It is unclear if cerebrovascular responses to NE and PE would change in the presence of severe intracranial hypertension. Finally, we only utilized female swine in our studies, and sex-dependent differences in the cerebrovascular responses to various vasopressors in swine following TBI have been reported.<sup>37–39</sup>

### Conclusion

Utilizing a clinically relevant opioid-benzodiazepine-based anesthetic protocol, early CPP support following TBI with NE or PE resulted in differences in brain tissue oxygen, cellular metabolism, and acute neuropathology. Early CPP augmentation after TBI with NE resulted in greater increase in brain tissue oxygen tension than augmentation with PE, despite similar increases in CBF. However, animals receiving PE were found to have greater reduction in metabolic crisis and cell injury. Future clinical studies comparing the effectiveness of various vasopressors for CPP support are warranted.

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### Author Disclosure Statement

No competing financial interests exist.

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